

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

09/806220

INTERNATIONAL APPLICATION NO.
PCT/SE99/01652INTERNATIONAL FILING DATE
Sept. 22, 1999PRIORITY DATE CLAIMED
Sept. 28, 1998

TITLE OF INVENTION

Thread Coupling For A Drill String For Percussive Rock Drilling

APPLICANT(S) FOR DO/EO/US

Roger Sandstrom

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau). *
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - unexecuted
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mail Certificate; Credit Card Payment Form; Copy of Int. Preliminary Examination Report.

*Including 1 sheet of drawing (Figs. 1 - 2) and copy of International Search Report.

U.S. APPLICATION NO. (if known) 37 CFR 1.53

09/80624

INTERNATIONAL APPLICATION NO.
PCT/SE99/01652

ATTORNEY'S DOCKET NUMBER

98003 UTAP

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 1000

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ --

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	1 - 20 =	-	x \$18.00	\$ --
Independent claims	1 - 3 =	-	x \$80.00	\$ --
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ --
TOTAL OF ABOVE CALCULATIONS =				\$ 1000
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ --
SUBTOTAL =				\$ 1000
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ --
TOTAL NATIONAL FEE =				\$ 1000
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ --
TOTAL FEES ENCLOSED =				\$ 1000
				Amount to be refunded: \$
				charged: \$

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Mark P. Stone

SIGNATURE

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NAME

Registration No. 27,954
REGISTRATION NUMBER

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Roger Sandstrom

Title: Thread Coupling For A Drill String
For Percussive Rock Drilling

U.S. National Phase of PCT/SE99/01652

Filed: September 22, 1999

Commissioner for Patents
Washington, D.C. 20231

Attn: PCT-US/EO

EXPRESS MAIL CERTIFICATE

"Express Mail" Mailing Label No. EK 170 235 527 US

Date of Deposit: March 27, 2001

I hereby certify that this: Copy of published PCT/SE99/01652 with one sheet of drawing (Figs. 1 - 2) and Copy of International Search Report; Unexecuted Inventor's Declaration; Preliminary Amendment with Abstract of the Disclosure; Information Disclosure Statement with Form PTO-1449 and copies of four (4) references; Copy of International Preliminary Examination Report; Credit Card Payment Form for filing PCT in US/EO and for recording *MP*
MP Assignment; and Transmittal Letter to US/EO.

is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Mark P. Stone

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